

10/24/00  
JC950 U.S. PTO

10/26/00

Attorney Docket No.: SONY-50P4042.US.P

A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Application

I hereby certify that this transmittal of the below described documents is being deposited with the United States Postal Service in an envelope bearing Express Mail Postage and an Express Mail label, with the below serial number, addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit.

Express Mail Label No.:	EL676375163US	Name of Person Making the Deposit:	ANTHONY CHOU
Date of Deposit:	10/24/00	Signature of the Person Making the Deposit:	<i>Anthony Chou</i>

Inventor(s): Ryuichi Iwamura

Title: METHOD AND SYSTEM FOR A SECURE DIGITAL DECODER

The Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Transmittal of a Patent Application  
(Under 37 CFR §1.53)

Transmitted herewith is the above identified patent application, including:

- Specification, claims and abstract, totaling 31 pages.
- Formal drawings, totaling ..... pages.
- Informal drawings, totaling 9 pages.
- Declaration and Power of Attorney.
- Information Disclosure statement.
- Form 1449
- Assignment(s)
- Assignment Recordation Form (duplicate)
- Preliminary Amendment

**FEES DUE**

The fees due for filing the specification pursuant to 37 C.F.R. § 1.16 and for recording of the Assignment, if any, are determined as follows:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEES
Basic Application Fee					\$710.00
Total Claims	25	Minus 20=	5	X \$18=	\$90.00
Independent Claims	3	Minus 3=	0	X \$80=	\$0.00
If multiple dependent claims are presented, add \$260.00					\$0.00
Add Assignment Recording Fee of \$40.00 If Assignment document is enclosed					\$40.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$840.00</b>

jc930 U.S. PTO  
09/1696584  
10/24/00

## PAYMENT OF FEES

The full fee due in connection with this communication is provided as follows:

1. Not enclosed

No filing fee is to be paid at this time.

2. Enclosed

Filing fee

Recording assignment

Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached

For processing an application with specification in a non-English language

Processing and retention fee

Fee for international-type search report

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085. A duplicate copy of this authorization is enclosed.

A check in the amount of \$840.00

Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

This application is filed pursuant to 37 C.F.R. § 1.53 in the name of the above-identified Inventor(s).

Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

This transmittal ends with this page.

Respectfully submitted,

Date: 10/24/2000

By: Ronald M. Pomerenke  
Ronald M. Pomerenke  
Reg. No. 43,009

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: SONY-50P4042

#09/696584

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the U.S. Patent and Trademark Office, Assignment Branch, North Tower Building, Suite 10C35, Washington, D.C., 20231, on the below date of deposit.

Date of Deposit:	10/24/00	Name of Person Making the Deposit:	ANTHONY CHOU	Signature of the Person Making the Deposit:	<i>Anthony Chou</i>
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The Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Please record the attached original document(s) or copy(ies) thereof:

Transmittal of an Assignment



1. Name of conveying Ryuichi Iwamura

party(ies):

Serial No.:

Filed: 10/24/00

Group Art Unit:

Examiner:

2. Name and Address of SONY CORPORATION OF JAPAN, 7-35 Kitashinagawa 6-Chome,  
Receiving party(ies) Shinagawa-ku, Tokyo, Japan

SONY ELECTRONICS, INC., 1 Sony Drive, Park Ridge, New Jersey,  
07656

3. Nature of Conveyance: Assignment

Execution Date: 10/19/00

4. New Patent Application entitled: METHOD AND SYSTEM FOR A SECURE DIGITAL DECODER

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald M. Pomerenke

Address: Wagner, Murabito & Hao LLP

Two North Market Street, Third Floor, San Jose, CA 95113

Phone: (408) 938-9060

6. Total Number of applications and patents involved: ONE

7. Fee Calculation (for other than a small entity)

Assignment Recordation Fee, per property

1 X \$40.00

\$40.00

**Total Fees** (37 CFR 3.41)

The amount of \$ 40.00 is enclosed

8. At any time during the pendency of this application, please charge any additional fees required or credit any overpayments to Deposit Account 23-0085. A duplicate copy of this transmittal is enclosed.

9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

*Ronald M Pomerenke*

Name Ronald M. Pomerenke  
Reg. No. 43,009

Date: October 24, 2000

10/24/2000 11:21:02 0300007M 095500504

10/24/2000

\$0.00 00

## Assignment To SONY Corporation and SONY Electronics, Inc.

In consideration of good and valuable consideration, receipt of which is hereby acknowledged, I/we

Ryuichi Iwamura.....  
do hereby sell, assign, and transfer unto SONY Corporation and SONY Electronics, Inc. (hereinafter called the Assignees), a Corporation of Delaware and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

### METHOD AND SYSTEM FOR A SECURE DIGITAL DECODER

filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

Serial No.: ..... filed on ..... and the inventions set forth  
and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, I/we agree promptly upon request of the Assignees, their successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to the Assignees, their successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by the Assignees.

I/we further covenant with the Assignees, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned;

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature:  *Ryuichi Iwamura* Date:  October 19, 2000